

ADDENDUM NO. 1
FOR
STORM DRAINAGE IMPROVEMENTS AND BRIDGE REPLACEMENT
CDBG PROJECT NO. SM-CMPF-16-034
FOR
THE TOWN OF VALLEY HEAD, AL
July 21, 2017

TO: ALL PLAN HOLDERS AND INTERESTED PARTIES

SUBJECT: Plans, Specifications, and Contract Documents are hereby amended, modified, and changed as follows:

- I. Reference Contract Documents, Section 03410, Part 1, 1.03 References, Page 03410-2.
 - A. Add the following to paragraph 1.03:
 - T. ASTM C979 - Standard Specification for Pigments for Integrally Colored Concrete.

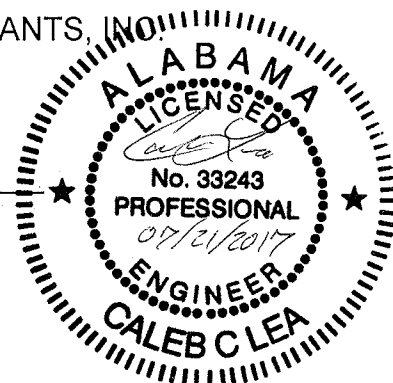
- II. Reference Contract Documents, Section 03410, Part 2.01.B Optional Finish for Exposed Face of Headwalls, Stamped Concrete, Page 03410-3.
 - A. Paragraph 2.01.B shall be removed and replaced with the following:
 - B. Stamped Concrete with integrally colored pigments per ASTM C979. Color to be selected by owner from manufacturer's submitted color samples.

- III. Minutes from the pre-bid conference held July 18, 2017 are attached as pages ADM1-2 and ADM1-3. Attached with the pre-bid minutes is an overview handout provided by TARCOG's Del Schafer during the pre-bid to primarily outline the CDBG wage requirements. Total 14 pages.

THIS ADDENDUM ISSUED THIS 21st DAY OF July, 2017.

LADD ENVIRONMENTAL CONSULTANTS, INC.


Caleb Lea, P.E.



ATTACHMENT "A"

PRE-BID CONFERENCE MINUTES

STORM DRAINAGE IMPROVEMENTS AND BRIDGE REPLACEMENT

FOR

THE TOWN OF VALLEY HEAD

CDBG PROJECT NO. SM-CMPF-16-034

VALLEY HEAD TOWN HALL, 41 ANDERSON STREET

VALLEY HEAD, AL 35989

July 18, 2017 AT 2:00 PM

A pre-bid conference for the above referenced project was held at the time and location noted above. A list of Attendees is included below. James Payton of Ladd Environmental Consultants, Inc, Consulting Engineer firm for the project moderated the conference. Introductions were made by James Payton, naming everyone present.

Del Schafer, Administrator for the project with TARCOG, discussed various requirements of the CDBG Project. CDBG has provided grant funding for the project. Davis-Bacon Wage Rates are required to be complied with for the contract. A hand out was provide to all contractors with the necessary certified payroll documents to be used and submitted to TARCOG on a weekly basis (1 week following).

Caleb Lea of Ladd Environmental Consultants, Inc., described the general requirements of the project and stated the following: The time requirements for the project are driven by the long lead time for the precast arch structure. Upon NTP, shop drawings are required to begin and construction (particularly demo of the existing bridge/culverts) shall not begin more than 14 days prior to commencement of the new arch structure construction.

The 3 bid schedules represent the various aesthetic finish of the exposed headwalls (i.e. the outside face of the downstream side as well as the outside and exposed inside face of the upstream side headwall, total quantity of 3 faces to receive either stamped finish or stone veneer.

The following questions were asked from those in attendance:

Are paving limits shown? Yes, minimum paving limits are shown on the drawings; however additional patching will be required.

Is a unit price rock clause included? No, any rock encountered is to be included in the total bid price.

What is the lead time of the precast arch structure? Approximately 4 months.

Is the precast headwall to be painted? No. Caleb will check on stain options to be added to the precast mix (for the stamped option only).

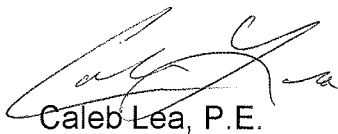
Is a geotech report included? Yes, it included as an attachment to the specs.

Is the funding in place? Yes.

Following is a list of those in attendance:

Lamar Bray, Debra Rhodes and Billy Bailey, Town of Valley Head
James Payton and Caleb Lea, Ladd Environmental Consultants, Inc.
Del Schafer and Kevin Bernard, TARCOG
Zach Richey, Lambert Contracting
Jarrod Jackson and John Combs, Wiregrass Construction

The pre-bid conference was dismissed at 2:40 pm.



Caleb Lea, P.E.
Ladd Environmental Consultants, Inc.

PRE-BID MEETING: LABOR RELATIONS
PROJECT REQUIREMENTS/RESPONSIBILITIES OUTLINE
SM-CM-PF-16-034 (Town of Valley Head: Flooding/Drainage Project)

07/18/17 2:00 PM:

- Subcontractor use? **YES ... NO:** if so Owner will need listing and on-site schedule ASAP after initial preconstruction conference. ó As known, Project Administrator will need a list of their name/address/a contact phone and email, their FEIN, and their potential timetable to be on the job/site will need to be submitted.

- Davis—Bacon Wages are Applicable to construction activity of project.**
Review Project DB decision (*copy attached*)
 - o Q: is there going to be a need for any additional classifications? Look @ DB listing, and, If yes, look at the attached form and plan to submit it ASAP after the Preconstruction Conference.

POSTING OF WAGE DETERMINATION. *A copy of the applicable/attached wage decision and any additional classifications shall be posted by the contractor at the site of work in a prominent place readily accessible to the workers.* Publication WH-1321 Notice to Employees (Copy Attached to posters) will also be posted with the name and telephone number of the local or State agency compliance designee or HUD Field Office Labor Relations Staff, whichever is appropriate.

- Who is subject to *Davis-Bacon* and Related Acts? **Mostly, everyone on the job.**

AND: Ie. :THERE IS NO SUCH THING AS A “HELPER”, or A JUNIOR/TRAINEE CARPENTER, etc.

(Unless one is enrolled in a *bona—fide* USDOL Apprentice Program w/certificate on file! (And we will need a copy of that filed with their first payroll)

Any Employee’s payroll listed job classification/category MUST match a listed category on the Project’s DB wage decision, and meet the minimum wage/& fringes (if required)!

- Payment of Fringe Benefits.
IF your decision requires fringes, and you pay some/partial fringes, we will need a statement of your fringe benefits paid, estimated on an hourly basis, for workers receiving them, and an explanation of how they were derived. This Statement should be signed by your Certified Payroll person, and a Corporate officer.

- Use of Apprentices and Trainees. *As discussed above.*
- Copeland ãAntiö Kickbackö Act. *Applies to the Project*
- Contract Work Hours and Safety Standards Act (CWHSSA) (Overtime Compensation). *Basically, over 40 hrs per week activates “time-and-a-half” wage provision – this only applies to DB wages – fringes are continue at straight time w/no increase required*
- Liquidated Damages (Re: CWHSSA violations). *Willful violations may trigger this !*
- Supply and installation contracts. *On flat rate, or piecework installation contracts, employee hours must be documented so that DB rate compliance can be verified.*

Contractor's (and subs) responsibilities.

□ **Certified Payrolls.**

- (a) Submission Certificate from Contractor Appointing Officer or Employee to Supervise Payment of Employees (Form for Contractor to designate someone else to sign the payrolls). *Not required if payroll is done by a corporate officer*
- (b) Payroll Form WH-347 and use of.
Another form may be used (ie. Computerized program, as long as it contains the information required by the WH-347 ... then see (c) below
- (c) Form WH-348 is used if Contractor is using a form other than WH-347 (it is the certification on the back of the WH-347).
- (d) **IRS Employer Identification Number (or Social Security Number (if self—employed) of the contractor and/or subcontractor must be on the initial payroll in the upper right—hand corner of the first page.**
- (e) **EMPLOYEE ADDRESSES AND SOCIAL SECURITY NUMBERS. Each worker's permanent/ mailing address, a contact phone number and social security number (last 4 digits) MUST be reported for each worker on the first payroll on which his/her name appears.** It is permissible for the contractor to omit the worker's social security number, etc., on subsequent payrolls if the contractor reports the worker's name on all payrolls in the identical form in which it was reported on the first payroll, and the contractor has no other worker with the same name, and no new/changed information is reported to the employer.
- (f) **Submissions to the Owner's Davis-Bacon/Payroll Administration Agent.** *(Payrolls are to be made weekly, and certified copies of them are due to the Owner's DB Administrator on a weekly basis – delivered within one week after last day covered in the preceding pay period)* Submittal of the weekly payroll forms by E-mail is acceptable – **However, at least once a month, original signed hard copies of the payroll w/certification form should be sent to the Project Administrator, for the Owner's records file**

SUBS should submit their payrolls to the prime for verification/approval and forwarding to the Administrative agent.

- (g) Review of payrolls for the Owner will be done by the Project's Contract Labor Standards Officer. (TARCOG)
- (h) HUD 11, Report/Employee Interviews: will be conducted, on site, by the Project's designated Labor Standards Officer (TARCOG).
- (i) Maintaining payroll record files. *(three year records retention is required)*
- (j) Monitoring of payroll files and documents. **Project DB related documents are subject to monitoring (with notice) by both the State, and HUD**
- (k) Split classifications.
person employed as a laborer or mechanic employed on a contract subject to DBRA and performing work in more than one classification may be paid not less than the predetermined rate for the actual hours spent in each classification, provided the work performed is capable of separation into more than one classification, and provided that the time records are kept in accordance with the actual hours spent in each classification. Work which is normally performed as part of the mechanic's craft is not separable.
- (l) Working foreman. ***Nominally, a working foreman should be paid at a rate such that it is equal to the highest pay category he is expected to perform work in.***

- Reporting requirements for ðworking subcontractors.ö

Check Criteria:

1. Does the subcontractor have a registered trade name and is there a telephone listing under that name?
2. Does the subcontractor have a license?
3. Does the subcontractor have liability insurance or a subcontractors bond?
4. Federal Tax Identification Number.

Any of these criteria in conjunction with a signed contract containing Federal Labor Standards Provisions from each such subcontractor is sufficient to establish that he or she is a bona-fide subcontractor. Such a subcontractor will submit payrolls indicating only that he/she is the owner, the hours worked and the classification. ðSelfö Employed Ownerö shall be written under the name, address, and Social Security Number (See Column 1 on the Optional Form WH-347)

HOWEVER: NOTE THAT HOURS MUST BE RECORDED SO THAT DB WAGE COMPLIANCE CAN BE DETERMINED.

- Other, or added issues:

NONE

Attached

DB Wage Decision, Payroll Signature Authorization form,
Payroll Form WH-347, ðOtherö Deductions form,

Posters/Other Distribution:

(TO BE MADE AT PRECONSTRUCTION CONFERENCE W/Email-CD/Digital sources)

- * WH-1321 - Notice to Employees of DB Project Status
- * Equal Opportunity is the Law Poster (EEO)
- * Minimum wage Poster
- * Copy of DB Wage Decision (Attached to WH-1321 above)

CONTACT:

Davis-Bacon/Payrolls Administrator
(Questions/Contact & e-Mailing address for Payrolls)

D. C. Schafer
TARCOG
5075 Research Drive NW
Huntsville, Al. 35805-5912
Voice: 256-830-0818 (Reception) Fax: 256-830-0843
DCS: 256-716-2480 (Direct/Voice mail)
del.schafer@tarcog.us d.schafer1@comcast.net

General Decision Number: AL170124 01/06/2017 AL124

<https://www.wdol.gov/>

Superseded General Decision Number: AL20160124

State: Alabama

Construction Type: Heavy
Including Water and Sewer Line Construction

County: De Kalb County in Alabama.

Note: Under Executive Order (EO) 13658, an hourly minimum wage of \$10.20 for calendar year 2017 applies to all contracts subject to the Davis-Bacon Act for which the contract is awarded (and any solicitation was issued) on or after January 1, 2015. If this contract is covered by the EO, the contractor must pay all workers in any classification listed on this wage determination at least \$10.20 per hour (or the applicable wage rate listed on this wage determination, if it is higher) for all hours spent performing on the contract in calendar year 2017. The EO minimum wage rate will be adjusted annually. Additional information on contractor requirements and worker protections under the EO is available at www.dol.gov/whd/govcontracts.

	Rates	Fringes
Power equipment operators:		
Cherry Picker (Hydraulic Crane Under 100 Ton).....	\$ 21.08	8.31
Crane (Hydraulic & Conventional Cranes 100 Ton and Over).....	\$ 22.08	8.31
Oiler.....	\$ 18.42	8.31

SUAL2007-151 11/28/2007

	Rates	Fringes
ELECTRICIAN.....	\$ 15.96	3.57
LABORER: Common or General.....	\$ 8.00	0.00
LABORER: Pipelayer.....	\$ 10.13	0.00
OPERATOR: Backhoe.....	\$ 13.46	0.00
OPERATOR: Bulldozer.....	\$ 16.60	2.64
OPERATOR: Drill.....	\$ 9.50	2.36
OPERATOR: Grader/Blade.....	\$ 12.59	1.33
OPERATOR: Loader (Front End)....	\$ 11.67	0.00
OPERATOR: Roller.....	\$ 9.45	0.00
OPERATOR: Scraper.....	\$ 9.78	0.18
OPERATOR: Trackhoe.....	\$ 12.00	0.00
TRUCK DRIVER.....	\$ 15.70	5.86

WELDERS - Receive rate prescribed for craft performing
operation to which welding is incidental.

=====

Note: Executive Order (EO) 13706, Establishing Paid Sick Leave for Federal Contractors applies to all contracts subject to the Davis-Bacon Act for which the contract is awarded (and any solicitation was issued) on or after January 1, 2017. If this contract is covered by the EO, the contractor must provide employees with 1 hour of paid sick leave for every 30 hours they work, up to 56 hours of paid sick leave each year. Employees must be permitted to use paid sick leave for their own illness, injury or other health-related needs, including preventive care; to assist a family member (or person who is like family to the employee) who is ill, injured, or has other health-related needs, including preventive care; or for reasons resulting from, or to assist a family member (or person who is like family to the employee) who is a victim of, domestic violence, sexual assault, or stalking. Additional information on contractor requirements and worker protections under the EO is available at www.dol.gov/whd/govcontracts .

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29CFR 5.5 (a) (1) (ii)).

The body of each wage determination lists the classification and wage rates that have been found to be prevailing for the cited type(s) of construction in the area covered by the wage determination. The classifications are listed in alphabetical order of "identifiers" that indicate whether the particular rate is a union rate (current union negotiated rate for local), a survey rate (weighted average rate) or a union average rate (weighted union average rate).

Union Rate Identifiers

A four letter classification abbreviation identifier enclosed in dotted lines beginning with characters other than "SU" or "UAVG" denotes that the union classification and rate were prevailing for that classification in the survey. Example: PLUM0198-005 07/01/2014. PLUM is an abbreviation identifier of the union which prevailed in the survey for this classification, which in this example would be Plumbers. 0198 indicates the local union number or district council number where applicable, i.e., Plumbers Local 0198. The next number, 005 in the example, is an internal number used in processing the wage determination. 07/01/2014 is the effective date of the most current negotiated rate, which in this example is July 1, 2014.

Union prevailing wage rates are updated to reflect all rate changes in the collective bargaining agreement (CBA) governing this classification and rate.

Survey Rate Identifiers

Classifications listed under the "SU" identifier indicate that no one rate prevailed for this classification in the survey and the published rate is derived by computing a weighted average rate based on all the rates reported in the survey for that classification. As this weighted average rate includes all rates reported in the survey, it may include both union and non-union rates. Example: SULA2012-007 5/13/2014. SU indicates the rates are survey rates based on a weighted average calculation of rates and are not majority rates. LA indicates the State of Louisiana. 2012 is the year of survey on which these classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. 5/13/2014 indicates the survey completion date for the classifications and rates under that identifier.

Survey wage rates are not updated and remain in effect until a new survey is conducted.

Union Average Rate Identifiers

Classification(s) listed under the UAVG identifier indicate that no single majority rate prevailed for those classifications; however, 100% of the data reported for the classifications was union data. EXAMPLE: UAVG-OH-0010 08/29/2014. UAVG indicates that the rate is a weighted union average rate. OH indicates the state. The next number, 0010 in the example, is an internal number used in producing the wage determination. 08/29/2014 indicates the survey completion date for the classifications and rates under that identifier.

A UAVG rate will be updated once a year, usually in January of each year, to reflect a weighted average of the current negotiated/CBA rate of the union locals from which the rate is based.

WAGE DETERMINATION APPEALS PROCESS

- 1.) Has there been an initial decision in the matter? This can be:
 - * an existing published wage determination
 - * a survey underlying a wage determination
 - * a Wage and Hour Division letter setting forth a position on a wage determination matter
 - * a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations
Wage and Hour Division
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.

=====

END OF GENERAL DECISION

**U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
REPORT OF ADDITIONAL CLASSIFICATION AND RATE**

HUD FORM 4230A

OMB Approval Number 2501-0011
(Exp. 09/30/2006)

Town of Valley Head 41 Anderson St. (P O Box 126) Valley Head, AL 35989	2. PROJECT NAME AND NUMBER <u>Town of Valley Head: flooding/Drainage project</u> SM-CM-PF-16-034
3. LOCATION OF PROJECT (City, County and State) Town of Valley Head, DeKalb County Alabama	

4 Construction/replacement of water lines	5. CHARACTER OF CONSTRUCTION <input type="checkbox"/> Building <input type="checkbox"/> Residential <input checked="" type="checkbox"/> Heavy <input type="checkbox"/> Other (specify) <input type="checkbox"/> Highway
---	---

6. WAGE DECISION NO. (include modification number, if any) General Decision AL170124 01/06/2017 AL124 <input checked="" type="checkbox"/> COPY ATTACHED	7. WAGE DECISION EFFECTIVE DATE 01/06/2017
--	--

8. WORK CLASSIFICATION(S)	HOURLY WAGE RATES	
	BASIC WAGE	FRINGE BENEFIT(S) (if any)

9. PRIME CONTRACTOR (name, address)	10. SUBCONTRACTOR/EMPLOYER, IF APPLICABLE (name, address)
--	--

Check All That Apply:

The work to be performed by the additional classification(s) is not performed by a classification in the applicable wage decision.

The proposed classification is utilized in the area by the construction industry.

The proposed wage rate(s), including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage decision.

The interested parties, including the employees or their authorized representatives, agree on the classification(s) and wage rate(s).

Supporting documentation attached, including applicable wage decision.

Check One:

Approved, meets all criteria. DOL confirmation requested.

One or more classifications fail to meet all criteria as explained in agency referral. DOL decision requested.

_____ Agency Representative	_____ <i>Date</i>	FOR HUD USE ONLY LR2000: Log in: Log out:
_____ <i>Phone Number</i>		

INSTRUCTIONS FOR COMPLETING AND SUBMITTING HUD FORM 4230A

REPORT OF ADDITIONAL CLASSIFICATION AND RATE

Introduction

If the work classification you need doesn't appear on the wage decision you are using on a project, you will need to request an **additional classification and wage rate**. The process is very simple, **BUT** you need to start the request as soon as possible.

Completing the Form 4230A

Section 1 [**FROM** (*name and address of requesting agency*)] Will be completed for you. This is the address to which the Form 4230A and all accompanying documentation will go. Sections 2 - 9 (and, if applicable, Section 10). All Sections are self-explanatory.

While you do not complete the **Check All That Apply** Section, you *should* ensure that all statements are true and applicable.

Documentation

In addition to the Form 4230A, the following documentation must be submitted to support the request for an additional classification:

1. A copy of the Wage Decision being used on the project.
2. **A signed letter, on company letterhead, which:**
 - a. **provides the name of the additional work classification,**
 - b. **proposes the basic wage to be paid,**
 - c. **proposes the fringe benefit(s), if any, to be paid, and**
 - d. **states that all interested parties, including the employees or their authorized representatives, agree on the classification(s) and the wage rate(s).**

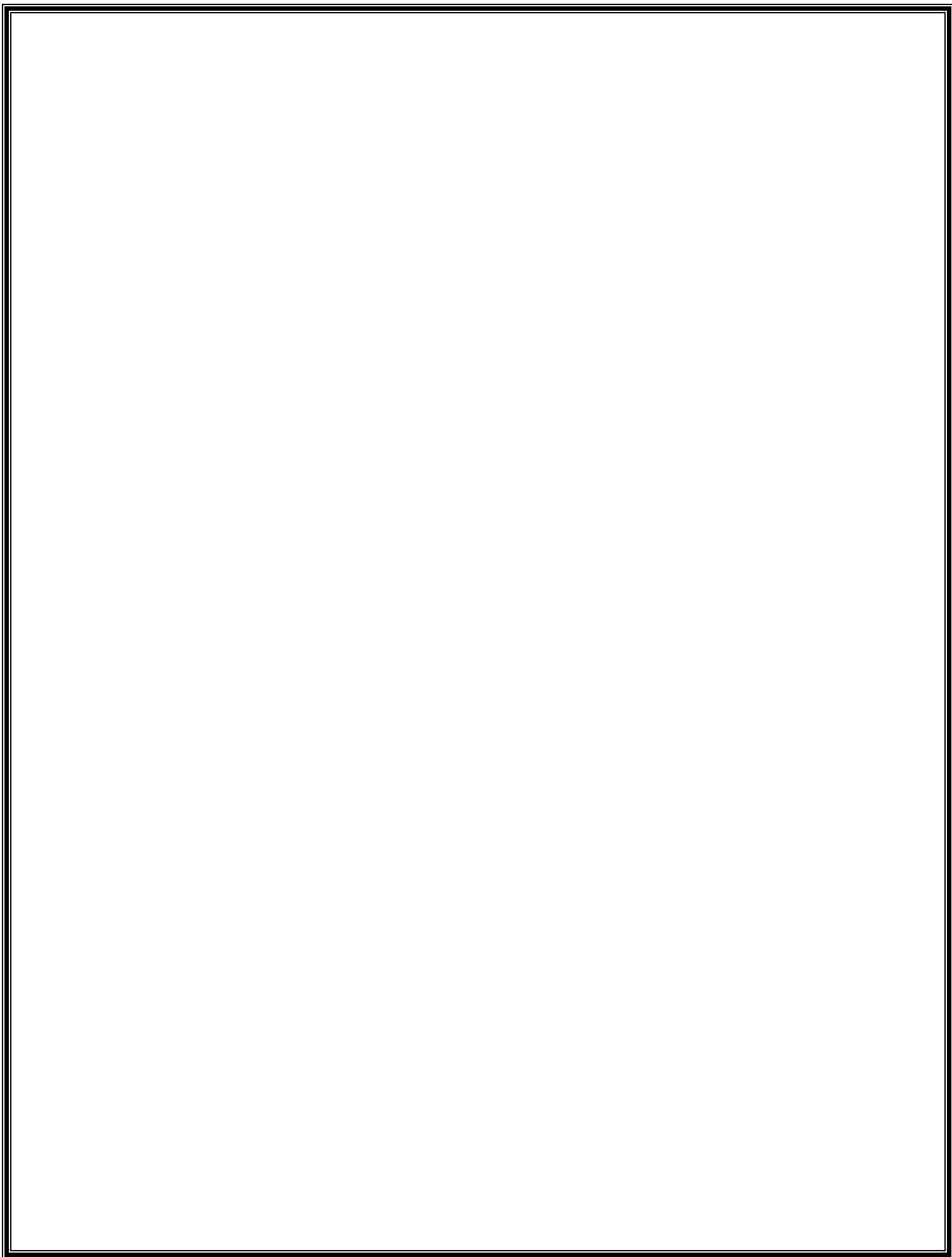
The prime contractor should request any additional classification(s), and the requests letter must come from the prime contractor, to the DB/Payroll Administrator. If the subcontractor is requesting the additional classification(s), the subcontractor must submit the letter to the prime contractor. The prime contractor then, in turn, should submit the subcontractor's letter to the DB/Payroll Administrator. The prime contractor's letter of transmittal to the DB/Payroll Administrator implies approval of the wage rate (and fringe benefits).

Proposed Hourly Wage Rate

The proposed hourly wage rate (which includes both the basic wage and fringe benefits, if any) must be at least as much as the lowest wage rate for other trade or journeymen+ classifications already contained in the Wage Decision. The journeymen+ classifications specifically **exclude** the Laborer and Operator classifications.

DOL Decision

The City's DB/Payroll Administrator will inform all involved contractors of DOL's decision in writing. If the DOL *does not approve* the request, the DB/Payroll Administrator will be notified of the classification and/or wage rate that DOL suggests should be used for the work in question, and these rates will be forwarded to the Contractor.



U.S. Department of Labor

Wage and Hour Division

(For Contractor's Optional Use; See Instructions at www.dol.gov/whd/forms/wh347instr.htm)

Persons are not required to respond to the collection of information unless it displays a currently valid OMB control number.



U.S. Wage and Hour Division

Rev. Dec. 2008
OMB No.: 1235-0008
Expires: 02/28/2018

NAME OF CONTRACTOR OR SUBCONTRACTOR

ADDRESS

PAYROLL NO.

FOR WEEK ENDING

PROJECT AND LOCATION

PROJECT OR CONTRACT NO.

(1) NAME AND INDIVIDUAL IDENTIFYING NUMBER (e.g., LAST FOUR DIGITS OF SOCIAL SECURITY NUMBER) OF WORKER	(2) NO. OF WITHHOLDING EXEMPTIONS	(3) WORK CLASSIFICATION	OT. OR ST.	(4) DAY AND DATE							(5) TOTAL HOURS	(6) RATE OF PAY	(7) GROSS AMOUNT EARNED	(8) DEDUCTIONS				(9) NET WAGES PAID FOR WEEK			
																FICA	WITH- HOLDING TAX		OTHER	TOTAL DEDUCTIONS	
			S																		
			O																		
			S																		
			O																		
			S																		
			O																		
			S																		
			O																		
			S																		

While completion of Form WH-347 is optional, it is mandatory for covered contractors and subcontractors performing work on Federally financed or assisted construction contracts to respond to the information collection contained in 29 C.F.R. §§ 3.3, 5.5(a). The Copeland Act (40 U.S.C. § 3145) contractors and subcontractors performing work on Federally financed or assisted construction contracts to "furnish weekly a statement with respect to the wages paid each employee during the preceding week." U.S. Department of Labor (DOL) regulations at 29 C.F.R. § 5.5(a)(3)(ii) require contractors to submit weekly a copy of all payrolls to the Federal agency contracting for or financing the construction project, accompanied by a signed "Statement of Compliance" indicating that the payrolls are correct and complete and that each laborer or mechanic has been paid not less than the proper Davis-Bacon prevailing wage rate for the work performed. DOL and federal contracting agencies receiving this information review the information to determine that employees have received legally required wages and fringe benefits.

Public Burden Statement

We estimate that it will take an average of 55 minutes to complete this collection, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have any comments regarding these estimates or any other aspect of this collection, including suggestions for reducing this burden, send them to the Administrator, Wage and Hour Division, U.S. Department of Labor, Room S3502, 200 Constitution Avenue, N.W., Washington, D.C. 20210

(over)

Date _____

I, _____ (Name of Signatory Party) _____ (Title)

do hereby state:

(1) That I pay or supervise the payment of the persons employed by

_____ on the _____

(Contractor or Subcontractor) _____; that during the payroll period commencing on the _____ day of _____, and ending the _____ day of _____, _____

(Building or Work) _____, all persons employed on said project have been paid the full weekly wages earned, that no rebates have been or will be made either directly or indirectly to or on behalf of said _____

_____ from the full _____ (Contractor or Subcontractor)

weekly wages earned by any person and that no deductions have been made either directly or indirectly from the full wages earned by any person, other than permissible deductions as defined in Regulations, Part 3 (29 C.F.R., Subtitle A), issued by the Secretary of Labor under the Copeland Act, as amended (49 Stat. 948, 63 Stat. 108, 72 Stat. 967, 76 Stat. 357, 40 U.S.C. § 3145), and described below:

(2) That any payrolls otherwise under this contract required to be submitted for the above period are correct and complete; that the wage rates for laborers or mechanics contained therein are not less than the applicable wage rates contained in any wage determination incorporated into the contract; that the classifications set forth therein for each laborer or mechanic conform with the work he performed.

(3) That any apprentices employed in the above period are duly registered in a bona fide apprenticeship program registered with a State apprenticeship agency recognized by the Bureau of Apprenticeship and Training, United States Department of Labor, or if no such recognized agency exists in a State, are registered with the Bureau of Apprenticeship and Training, United States Department of Labor.

(4) That: (a) WHERE FRINGE BENEFITS ARE PAID TO APPROVED PLANS, FUNDS, OR PROGRAMS

— in addition to the basic hourly wage rates paid to each laborer or mechanic listed in the above referenced payroll, payments of fringe benefits as listed in the contract have been or will be made to appropriate programs for the benefit of such employees, except as noted in section 4(c) below.

(b) WHERE FRINGE BENEFITS ARE PAID IN CASH

— Each laborer or mechanic listed in the above referenced payroll has been paid, as indicated on the payroll, an amount not less than the sum of the applicable basic hourly wage rate plus the amount of the required fringe benefits as listed in the contract, except as noted in section 4(c) below.

(c) EXCEPTIONS

EXCEPTION (CRAFT)	EXPLANATION

REMARKS:	

NAME AND TITLE	SIGNATURE
THE WILLFUL FALSIFICATION OF ANY OF THE ABOVE STATEMENTS MAY SUBJECT THE CONTRACTOR OR SUBCONTRACTOR TO CIVIL OR CRIMINAL PROSECUTION. SEE SECTION 1001 OF TITLE 18 AND SECTION 231 OF TITLE 31 OF THE UNITED STATES CODE.	

**CERTIFICATE FROM PRIME CONTRACTOR DESIGNATING OFFICER OR EMPLOYEE
TO SUPERVISE PAYMENT OF EMPLOYEES**

Project Name Valley Head stormwater/drainage upgrades Date 08/15/17

Location Town of Valley Head, Alabama Project No: SM-CM-PF-16-034

We hereby certify that we are the **Prime Contractor** for General Construction ó Wastewater line in connection with construction of the above-mentioned Project,

and that we have appointed _____, whose signature appears

below, to supervise the payment of employees beginning _____, 2017 That he/she is in a position to have full knowledge of the facts set forth in the payroll documents and in the Statement of Compliance required by the so-called Kick-Back Statute which he/she is to execute with (my) (our) full authority and approval until such time as (I) (we) submit to the Town, or its Agent, a certificate appointing some other person for the purposes herein above stated.

(Signature of Appointee)

(Name of Firm or Corporation)

Signatures of owners, partners, and/or officers of the Corp. below:

(Signature)

(Title)

(Signature)

(Title)

(Signature)

(Title)

NOTE: This certificate must be executed by authorized officers of the corporation and/or by members of the partnership, and shall be executed prior to and **be submitted with the first payroll**. Should the appointee be changed, a new certificate must accompany the first payroll for which the new appointee executes the Statement of Compliance required by the Kick-Back Statute. A new designation is not necessary as long as the person signing the Statement of Compliance is an owner, partner or officer of the Corporation whose signature appears above.

“OTHER” DEDUCTIONS
AUTHORIZATION TO MAKE OTHER DEDUCTIONS

I _____, hereby authorize
(Please Print/type)

my employer _____

to make deductions not otherwise listed as permissible deductions on wages earned while employed on the following project:

PROJECT NUMBER: **SM-CM-PF-16-034**
PROJECT NAME: Valley Head storm drainage upgrades
PROJECT LOCATION: Town of Valley Head, DeKalb County, Alabama

These deductions are voluntary and are authorized for the purpose of

_____	\$ _____

_____	\$ _____

_____	\$ _____

Employee

Witness

Date

Date